

# EVALUATION AND MANAGEMENT:

## Coding and Documentation Pocket Reference



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### 3 MEDICAL DECISION-MAKING (continued)

C.1 Risk of Complications and/or Morbidity or Mortality			
LEVEL OF RISK	PRESENTING PROBLEM(S)	DIAGNOSTIC PROCEDURE(S) ORDERED	MANAGEMENT OPTIONS SELECTED
Moderate	<ul style="list-style-type: none"> <li>One or more chronic illnesses with mild exacerbation, progression or side effects of treatment</li> <li>Two or more stable chronic illnesses</li> <li>Undiagnosed new problem with uncertain prognosis, e.g., lump in breast</li> <li>Acute illness with systemic symptoms, e.g., pyelonephritis, pneumonitis, colitis</li> <li>Acute complicated injury, e.g., head injury with brief loss of consciousness</li> </ul>	<ul style="list-style-type: none"> <li>Physiologic tests under stress, e.g., cardiac stress test, fetal contraction stress test</li> <li>Diagnostic endoscopies with no identified risk factors</li> <li>Deep needle or incisional biopsy</li> <li>Cardiovascular imaging studies with contrast and no identified risk factors, e.g., arteriogram cardiac cath</li> <li>Obtain fluid from body cavity, e.g., lumbar procedure, thoracentesis, culdocentesis</li> </ul>	<ul style="list-style-type: none"> <li>Minor surgery with identified risk factors</li> <li>Elective major surgery (open, percutaneous or endoscopic) with no identified risk factors</li> <li>Prescription drug management</li> <li>Therapeutic nuclear medicine</li> <li>IV fluids with additives</li> <li>Closed treatment of fracture or dislocation without manipulation</li> </ul>
High	<ul style="list-style-type: none"> <li>One or more chronic illnesses with severe exacerbation, progression, or side effects of treatment</li> <li>Acute or chronic illnesses or injuries that may pose a threat to life or bodily function, e.g., multiple trauma, acute MI, pulmonary embolus, severe respiratory distress, progressive severe rheumatoid arthritis, psychiatric illness with potential threat to self or others, peritonitis, acute renal failure</li> <li>An abrupt change in neurologic status, e.g., seizure, TIA, weakness or sensory loss</li> </ul>	<ul style="list-style-type: none"> <li>Cardiovascular imaging studies with contrast with identified risk factors</li> <li>Cardiac electrophysiological tests</li> <li>Diagnostic endoscopies with identified risk factors</li> <li>Discography</li> </ul>	<ul style="list-style-type: none"> <li>Elective major surgery (open, percutaneous or endoscopic with identified risk factors)</li> <li>Emergency major surgery (open, percutaneous or endoscopic)</li> <li>Parenteral controlled substances</li> <li>Drug therapy requiring intensive monitoring for toxicity</li> <li>Decision not to resuscitate or to de-escalate care because of poor prognosis</li> </ul>

### 4 LEVEL OF SERVICE

#### OUTPATIENT, CONSULTS (OUTPATIENT, INPATIENT AND CONFIRMATORY) AND ER

	New Office/Consults/ER						Established Office			
	Requires 3 components within shaded area						Requires 2 components within shaded area			
<b>History</b>	PF ER: PF	EPF ER: EPF	D ER: EPF	C ER: D	C ER: C	<i>Minimal problem that may not require presence of physician</i>	PF	EPF	D	C
<b>Examination</b>	PF ER: PF	EPF ER: EPF	D ER: EPF	C ER: D	C ER: C		PF	EPF	D	C
<b>Complexity of Medical Decision</b>	SF ER: SF	SF ER: L	L ER: M	M ER: M	H ER: H		SF	L	M	H
<b>Average Time (minutes)</b> (Confirmatory consults and ER have no average time)	10 New (99201) 15 Outpt cons (99241) 20 Inpat cons (99251) Conf cons (99271) ER (99281)	20 New (99202) 30 Outpt cons (99242) 40 Inpat cons (99252) Conf cons (99272) ER (99282)	30 New (99203) 40 Outpt cons (99243) 55 Inpat cons (99253) Conf cons (99273) ER (99283)	45 New (99204) 60 Outpt cons (99244) 80 Inpat cons (99254) Conf cons (99274) ER (99284)	60 New (99205) 80 Outpt cons (99245) 100 Inpat cons (99255) Conf cons (99275) ER (99285)	5 (99211)	10 (99212)	15 (99213)	25 (99214)	40 (99215)
<b>Level</b>	I	II	III	IV	V	I	II	III	IV	V

#### INPATIENT

	Initial Hospital/Observation			Subsequent Inpatient/Follow-up		
	Requires 3 components within shaded area			Requires 2 components within shaded area		
<b>History</b>	D or C	C	C	PF interval	EPF interval	D interval
<b>Examination</b>	D or C	C	C	PF	EPF	D
<b>Complexity of Medical Decision</b>	SF/L	M	H	SF/L	M	H
<b>Average Time (minutes)</b> (Observation care has no average time)	30 Init hosp (99221) Observ care (99218)	50 Init hosp (99222) Observ care (99219)	70 Init hosp (99223) Observ care (99220)	15 Subsequent (99231) 10 FU cons (99261)	25 Subsequent (99232) 20 FU cons (99262)	35 Subsequent (99233) 30 FU cons (99263)
<b>Level</b>	I	II	III	I	II	III

#### NURSING FACILITY

	Annual Assessment/Admission			Subsequent Nursing Facility		
	Old Plan Review	New Plan	Admission	Requires 2 components within shaded area		
<b>History</b>	D interval	D interval	C	PF interval	EPF interval	D interval
<b>Examination</b>	C	C	C	PF	EPF	D
<b>Complexity of Medical Decision</b>	SF/L	M to H	M to H	SF/L	M	M to H
<b>Average Time (minutes)</b> (Confirmatory consults and ER have no average time)	30 (99301)	40 (99302)	50 (99303)	15 (99311)	25 (99312)	35 (99313)
<b>Level</b>	I	II	III	I	II	III

#### DOMICILIARY (REST HOME, CUSTODIAL CARE) AND HOME CARE

	New					Established			
	Requires 3 components within shaded area					Requires 2 components within shaded area			
<b>History</b>	PF	EPF	D	C	C	PF interval	EPF interval	D interval	C
<b>Examination</b>	PF	EPF	D	C	C	PF	EPF	D	C
<b>Complexity of Medical Decision</b>	SF/L SF	M L	H M	M	H	SF/L SF	M L	H M	H
<b>No Average Time Established</b>	Domiciliary (99321) Home care (99341)	Domiciliary (99322) Home care (99342)	Domiciliary (99323) Home care (99343)	Home care (99344)	Home care (99345)	Domiciliary (99331) Home care (99347)	Domiciliary (99332) Home care (99348)	Domiciliary (99333) Home care (99349)	Home care (99350)
<b>Level</b>	I	II	III	IV	V	I	II	III	IV

PF = Problem focused EPF = Expanded problem focused D = Detailed C = Comprehensive SF = Straightforward L = Low M = Moderate H = High

# 1 HISTORY

<b>HPI (History of Present Illness): Characterize HPI by considering either the Status of chronic conditions or the number of elements recorded.</b> <input type="checkbox"/> 1 condition <input type="checkbox"/> 2 conditions <input type="checkbox"/> 3 conditions <b>OR</b> <input type="checkbox"/> Location <input type="checkbox"/> Severity <input type="checkbox"/> Timing <input type="checkbox"/> Modifying factors <input type="checkbox"/> Quality <input type="checkbox"/> Duration <input type="checkbox"/> Context <input type="checkbox"/> Associated signs and symptoms				<input type="checkbox"/> Status of 1-2 chronic conditions	<input type="checkbox"/> Status of 1-2 chronic conditions	<input type="checkbox"/> Status of 3 chronic conditions	<input type="checkbox"/> Status of 3 chronic conditions
				<input type="checkbox"/> Brief (1-3)	<input type="checkbox"/> Brief (1-3)	<input type="checkbox"/> Extended (4 or more)	<input type="checkbox"/> Extended (4 or more)
<b>ROS (Review of Systems):</b> <input type="checkbox"/> Constitutional (wt loss, etc.) <input type="checkbox"/> Ears, nose, mouth, throat <input type="checkbox"/> GI <input type="checkbox"/> Integumentary (skin, breast) <input type="checkbox"/> Endo <input type="checkbox"/> Eyes <input type="checkbox"/> Card/vasc <input type="checkbox"/> GU <input type="checkbox"/> Neuro <input type="checkbox"/> Hem/lymph <input type="checkbox"/> Resp <input type="checkbox"/> Resp <input type="checkbox"/> Musculo <input type="checkbox"/> Psych <input type="checkbox"/> All/immuno				N/A	<input type="checkbox"/> Pertinent to problem (1 system)	<input type="checkbox"/> Extended (Pert and others) (2-9 systems)	<input type="checkbox"/> Complete (Pert and all others) (10 systems)
<b>PFSH (Past medical, Family, Social History) areas:</b> <input type="checkbox"/> Past history (the patient's past experiences with illnesses, operation, injuries and treatments) <input type="checkbox"/> Family history (a review of medical events in the patient's family, including diseases that may be hereditary or place the patient at risk) <input type="checkbox"/> Social history (an age-appropriate review of past and current activities)				N/A	N/A	<input type="checkbox"/> Pertinent (1 history area)	<input type="checkbox"/> *Complete (2 or 3 history areas)
*Complete PFSH: 2 history areas: a) established patients - office (outpatient) care, domiciliary care, home care; b) emergency department; c) subsequent nursing facility care; d) subsequent hospital care; and, e) follow-up consultations. 3 history areas: a) new patients - office (outpatient) care, domiciliary care, home care; b) initial consultations; c) initial hospital care; d) hospital observation; and, e) comprehensive nursing facility assessments.				<b>PROBLEM-FOCUSED</b>	<b>EXP. PROBLEM-FOCUSED</b>	<b>DETAILED</b>	<b>COMPREHENSIVE</b>
<b>Final History requires all 3 components above met or exceeded</b>							

# 2 EXAMINATION

Limited to affected body area or organ system (one body area or system related to problem)	1-5 bulleted elements	<b>PROBLEM-FOCUSED EXAM</b>
Affected body area or organ system and other symptomatic or related organ system(s) (2-7 systems)	6-11 bulleted elements	<b>EXPANDED PROBLEM-FOCUSED EXAM</b>
<u>Extended exam</u> of affected area(s) and other symptomatic or related organ system(s) (2-7 systems)	≥ 2 systems/areas 12-17 bulleted elements	<b>DETAILED EXAM</b>
General multi-system exam (8 or more systems) or complete exam of a single organ system (see 1997 Guidelines for Comprehensive single system exam instructions)	≥ 9 systems/areas ≥ 18 bulleted elements	<b>COMPREHENSIVE EXAM</b>

# 3 MEDICAL DECISION-MAKING

Final Result of Complexity for Medical Decision-Making Level				
<b>A. Number of diagnoses and/or management options</b>	≤ 2 Minimal	3-4 Multiple	5-6 Multiple	≥ 7 Extensive
<b>B. Amount and complexity of data reviewed/ordered</b>	≤ 1 None/Minimal	2 Limited	3 Multiple	≥ 4 Extensive
<b>C. Risk</b>	Minimal	Low	Moderate	High
<b>Type of medical decision-making</b>	<b>Straightforward</b>	<b>Low Complexity</b>	<b>Moderate Complexity</b>	<b>High Complexity</b>
<b>Final Medical Decision-Making requires 2 of 3 components above met or exceeded</b>				

A. Number of Diagnoses and/or Management Options (see Table A.1)	#DX	#TX	#DX + #TX
New or est problem(s), no evaluation/management mentioned and problem <b>is not</b> clearly co-morbid condition.	<b>0</b>	<b>0</b>	<b>0</b>
New or est problem(s), no evaluation/management mentioned and problem <b>is a</b> co-morbid condition.		<b>0</b>	
New or established problem(s), evaluation/management mentioned.			
<b>TOTAL</b>			

A.1 Treatments and Therapeutic Options	
<b>DO NOT COUNT AS TREATMENT OPTIONS NOTATIONS SUCH AS</b> Continue "same" therapy or "no change" in therapy (including drug management) without further description (record does not document what the current therapy plan is nor that the physician reviewed it)	<b>0</b>
Continue "same" therapy or "no change" in therapy without further description (record clearly documents what the current therapy plan is and that the physician reviewed it); or scheduled monitoring without specific therapy	<b>1</b>
Drug management, new prescriptions, or changes in dosing for current medications	<b>1</b>
Complex drug management (more than 3 medications/prescriptions and/or over-the-counter) new prescriptions or changes in dosing for current medications	<b>2</b>
Open or percutaneous therapeutic cardiac, surgical or radiological procedure – minor or major	<b>1</b>
Physical, occupational or speech therapy or other manipulation	<b>1</b>
Closed treatment for fx or dislocation	<b>1</b>
IV fluids	<b>1</b>
Complex insulin prescription (SC or combo of SC/IV), hyperalimentation, insulin drip or other complex IV admix prescription	<b>2</b>
Conservative measures such as rest, ice, bandages, dietary	<b>1</b>
Radiation therapy	<b>1</b>
IM injection/aspiration or other pain management procedure	<b>1</b>
Patient educated on self or home care topics/techniques	<b>1</b>
Hospital admit	<b>1</b>
Hospital admit – other physician(s) contacted	<b>2</b>
Referral to another physician, consultation	<b>1</b>
Other – specify	
<b>TOTAL</b>	

B. Amount and/or Complexity of Data Reviewed or Ordered	
Order and/or review results of clinical lab tests	<b>1</b>
Order and/or review results of tests in Radiology section of CPT	<b>1</b>
Order and/or review results of tests in Medical section of CPT	<b>1</b>
Discuss case with consultant or order consultation or discuss case with other physician also managing the patient	<b>1</b>
Discuss test results with performing physician	<b>1</b>
Order (identify specific source of records ordered) and/or summarize old or other health care records (simple statements to the effect that other or old outside records were reviewed is insufficient to count)	<b>1</b>
Physiologic monitoring	<b>1</b>
Independently visualize and report findings from images, tracings, pathological specimens themselves (not the reports) for procedures and tests for which interpretation not separately billed by the provider	<b>1</b>
<b>TOTAL</b>	

C. Risk of Complication and/or Mortality (see Table C.1)				
Nature of the presenting illness	Minimal	Low	Moderate	High
Risk conferred by diagnostic options	Minimal	Low	Moderate	High
Risk conferred by therapeutic options	Minimal	Low	Moderate	High
<b>Final Risk determined by highest of 3 components above</b>				

## C.1 Risk of Complications and/or Morbidity or Mortality

LEVEL OF RISK	PRESENTING PROBLEM(S)	DIAGNOSTIC PROCEDURE(S) ORDERED	MANAGEMENT OPTIONS SELECTED
Minimal	<ul style="list-style-type: none"> <li>One self-limited or minor problem, e.g., cold, insect bite, tinea corporis</li> </ul>	<ul style="list-style-type: none"> <li>Laboratory tests requiring venipuncture</li> <li>Chest X-rays</li> <li>EKG/EEG</li> <li>Urinalysis</li> <li>Ultrasound, e.g., echo</li> <li>KOH prep</li> </ul>	<ul style="list-style-type: none"> <li>Rest</li> <li>Gargles</li> <li>Elastic bandages</li> <li>Superficial dressings</li> </ul>
Low	<ul style="list-style-type: none"> <li>Two or more self-limited or minor problems</li> <li>One stable chronic illness, e.g., well-controlled hypertension or non-insulin dependent diabetes, cataract, BPH</li> <li>Acute uncomplicated illness or injury, e.g., cystitis, allergic rhinitis, simple sprain</li> </ul>	<ul style="list-style-type: none"> <li>Physiologic tests not under stress, e.g., pulmonary function tests</li> <li>Non-cardiovascular imaging studies with contrast, e.g., barium enema</li> <li>Superficial needle biopsies</li> <li>Clinical laboratory tests requiring arterial puncture</li> <li>Skin biopsies</li> </ul>	<ul style="list-style-type: none"> <li>Over-the-counter drugs</li> <li>Minor surgery with no identified risk factors</li> <li>Physical therapy</li> <li>Occupational therapy</li> <li>IV fluids without additives</li> </ul>